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As an attorney with a background in law, management, and public policy at the state, federal, and international levels, Aaron Aisen brings a unique perspective to Goldberg Segalla, where his practice primarily focuses on handling compliance and other regulatory matters for clients in the financial arena, especially in the insurance and reinsurance industries.

Aaron has hands-on understanding of a variety of federal and state financial laws and regulations. He has previous experience as a compliance investigations officer at a major international bank where he gained experience with the Patriot Act, Bank Secrecy Act and Office of Foreign Assets Control (OFAC) regulations in addition to various other banking regulations. He has helped clients navigate the regulatory landscape in a number of areas including Dodd-Frank, the Foreign Account Tax Compliance Act (FATCA), Truth-in-Lending Act (TILA)/Regulation Z, the Telephone Consumer Protection Act (TCPA), and other state and federal statutes and regulations in the area of financial regulation, consumer protection, and cybersecurity/data privacy. Aaron has also advised on various regulatory requirements associated with multi-million and billion dollar transactions.

He has assisted clients in responding to regulatory actions by various regulators including FINRA. He has also assisted clients with understanding the potential impact of proposed legislation and regulation such as the new, first-of-its-kind cyber security regulation for financial institutions in New York, and the impact of Dodd-Frank on proposed state legislation. In addition, he has experience with litigation in several areas including regulatory enforcement, insurance coverage, commercial and contract disputes, intellectual property, personal injury, and civil rights.

Aaron is a frequent author of blog posts and widely distributed articles focusing on a variety of financial, cybersecurity/data privacy, and other regulatory issues, including the impact of Dodd Frank on the insurance industry, U.S. and EU Solvency II issues, the Affordable Care Act, the Solvency Modernization Initiative and Principle-Based Reserving and the impact of anti-money laundering regulations and other anti-corruption provisions on the insurance and reinsurance industries.

Among his numerous presentations, articles, and other publications, Aaron has:

- Co-edited the *USLAW NETWORK New York State Surveillance Compendium of Law*
- Co-authored papers on cyber insurance and cyber risk issues for small and medium-sized businesses for the prestigious Federation of Defense and Corporate Counsel;
- Written about insurance regulation and product safety regulatory matters for the 22,000-member Defense Research Institute (DRI);
- Contributed to a chapter on reinsurance for the *New Appleman Insurance Law Practice Guide*;
- Co-authored three chapters on reinsurance regulation for the *Reinsurance Professional's Deskbook: A Practical Guide*, published by Thomson Reuters and DRI; and
- Co-authored a chapter on attorney-client privilege and its application in an in-house context for the New York State Bar Association's *Preparing For and Trying a Civil Lawsuit Second Edition*.

Aaron's background includes experience in several legislative offices — at the state and federal levels — and at the United Kingdom House of Commons in London. He also interned at the Monroe County District Attorney's Office in Rochester, New York, and the City of Rochester Law Department, where he assisted with regulatory enforcement and litigation. During law school, he was a student clerk for an administrative law judge with the New York State Division of Human Rights and for then-Justice Salvatore Martoche of the New York State Supreme Court, Appellate Division, Fourth Department. While earning his juris doctor at the State University of New York at Buffalo Law School, Aaron was an executive editor of the *Buffalo Public Interest Law Journal* and sat on the Jessup International Moot Court Board. During law school, he spent a semester at the University of Glasgow in Scotland where he studied European Union law, UK administrative, labor

and tax law, and international criminal law.

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Aaron recently served as co-convenor of the Campus Ministries Association at the University at Buffalo. He currently serves as co-chair of the Young Lawyers Committee at the Bar Association of Erie County.

Honors

- Upstate New York *Super Lawyers*, Rising Stars 2015-2017
- Beta Gamma Sigma International Management Honors Society

Professional Affiliations

- American Bar Association: Section of Administrative Law and Regulatory Practice, Section of International Law
- New York State Bar Association
 - 2015 Trial Academy at Cornell Law School
- Bar Association of Erie County: Co-Chair, Young Lawyers Committee; Admission to the Bar Committee

Community Involvement

- Campus Ministries Association at the State University of New York at Buffalo: Co-Convenor, 2015-2017; Treasurer, 2014-2015; Member, 2012–present
- Volunteer Judge: High School and Undergraduate Mock Trial; Albert R. Mugel National Moot Court Competition
- Boy Scouts of America: Merit Badge Counselor (Citizenship in the World, Citizenship in the Nation, Citizenship in the Community, and Law)
- Volunteer Lawyers Project: Attorney of the Morning Program (representing low-income individuals facing eviction in Buffalo City Court), 2012

Admitted to Practice

- New York
- U.S. District Courts for the Northern, Eastern, Southern, and Western Districts of New York
- U.S. Bankruptcy Court for the Western District of New York
- District of Columbia
- U.S. District Court for the District of Columbia
- U.S. Court of Appeals for the District of Columbia Circuit
- U.S. Court of Appeals for the Second Circuit
- U.S. Supreme Court

Education

- State University of New York at Buffalo Law School, J.D., *cum laude*, 2011
- University of Glasgow, Scotland, exchange student, 2009
- Brigham Young University, M.P.A. (concentration in financial and management analysis), with distinction, 2008
- George Washington University, B.A., international affairs (concentration in conflict and security), 2004

Publications/Presentations:

- Presenter, "OPSEC — Preparing, Preventing, and Responding," Association of Continuity Professionals - Eastern Great Lakes Chapter, Buffalo, N.Y., July 19, 2017

- Co-Author, "8 Tips for Effective Deposition Practice," Deposition Dos and Don'ts CLE, Erie County Bar Association Young Lawyers Committee, May 2017
- Panelist, "Prepare to Practice," Erie County Bar Association Young Lawyers Committee, April 2017

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- Guest Lecturer, "What Is It that You Want Most?" MPA Program, Marriott School of Management, Brigham Young University, Provo, UT, February 23, 2017
- Editor, Part III, "The Claims Process," and Part V, "Regulatory, Alternative Risk Transfers, and Other Recent Developments," *Reinsurance Professional's Deskbook, 2017-2018 ed.*, Thomson Reuters and DRI, 2017
- Co-Author, "Overview of the Reinsurance Regulatory Environment," "Reinsurance Regulation in the United States," and "International Insurance Regulation," Chapters 24-26 in *Reinsurance Professional's Deskbook, 2017-2018 ed.*, Thomson Reuters and DRI, 2017
- Co-Author, "Discovery and Coverage in Bad-Faith Litigation," Insurance Law Practice, New York State Bar Association, Fall 2016
- Co-Author, "Looking for Balance in Principle-Based Reserving," *Law360*, August 23, 2016
- Co-Author, "Understanding the Attorney-Client Privilege: A Practical Overview and Associated Ethical Rules," *Preparing for and Trying the Civil Lawsuit, 2d Ed.*, 2016 Rev., New York State Bar Association
- Co-Author, "A Short-Lived Victory for MetLife Over SIFI Designation?" *Law360*, April 12, 2016
- Guest Lecturer, "Analyzing Public Policy in the Administrative Environment," MPA Program, Marriott School of Management, Brigham Young University, Provo, UT, September 21, 2015
- Co-Author, "Auto Insurance Telematics Data Privacy and Ownership," *Mealey's Data Privacy Law Report*, May 2015
- Co-Author, "Overview of the Reinsurance Regulatory Environment," "Reinsurance Regulation in the U.S.," and "International Insurance," Chapters in *Reinsurance Professional's Deskbook: A Practical Guide*, Thomson Reuters and DRI, January 2015
- Co-editor, *New York State Surveillance Compendium of Law*, USLAW Network, September 2014
- Co-Author, "Do Lloyd's TCF Rules Harm US Coverholders?" *Global Reinsurance*, July 23, 2014
- Co-Author, "Staying In or Going Out: The Value of Teamwork Between a Bank's Inside and Outside Counsel," *Inside Counsel*, July 18, 2014
- Quoted in "Navigating the FATCA Minefield," *Captive Review*, July 2014
- Co-Author, "Staying In or Going Out When the Government Comes a-Knockin'," *Inside Counsel*, June 10, 2014
- Co-Author, "Staying In or Going Out? Identifying Potential Pitfalls for Banking's In-House Counsel," *Inside Counsel*, May 12, 2014
- Panelist, "The Regulatory Reform Update," Risk Management Association, Buffalo Chapter Meeting, May 13, 2014
- Featured in "Cyber Security: Protecting Ourselves," *People to People: Working Together for Your Safety*, February 4, 2014
- Co-Author, "Understanding Reinsurance," *New Appleman Insurance Law Practice Guide*, LexisNexis, 2013
- Co-Author, "FEMA Sends Warning About Time to Sue Over Coverage Disputes," *DRI Today*, Defense Research Institute, December 2, 2013
- Co-Author, "Will the FDA Kill the Caffeine Buzz?" *Food Processing*, September 27, 2013
- Co-Author, "Keeping the Big Bad Wolf Out — The Three Little Pigs and Cyber Risk: Preventing Your Home and Business From Becoming Bacon 'Bits,'" presented at the Federation of Defense and Corporate Counsel 2013 Annual Meeting, Colorado Springs, CO, July 28–August 4, 2013
- Co-Author, "Examining the 'Free' in Free Public Service Doctrine," *For the Defense*, Defense Research Institute, July 2013

- Co-Author, "The Emperor's New Clothes and Cyber Insurance," *FDCC Quarterly*, Federation of Defense and Corporate Counsel, Spring 2013
- Featured in "Computer Threats in the Office," *You and the Law*, WBFO, April 12, 2013
- Panelist, "Prepare to Practice," Erie County Bar Association Young Lawyers Committee, April 2013
- Co-Author, "Promulgation and Enforcement: Navigating a Complex 21st Century Insurance Regulatory Environment," *Insurance Coverage and Claims Institute*, April 12, 2013

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- Co-Author, "The Emperor's New Clothes and Cyber Insurance — A Few Questions Go a Long Way: Evaluating the 'Bare' Essentials of a New Product Amidst a New and Dangerous Risk," Federation of Defense and Corporate Counsel Winter Meeting, San Antonio, Texas, March 7, 2013
- Co-Author, "Let the (Hoster and Poster) Beware," *DRI Today*, November 30, 2012
- Co-Author, "Paradox and Balance: AIG, Germany, and the European Stability Mechanism," *ABA Section of International Law Europe Update*, October 2012
- Co-Author, "Three (Or Is It Four?) Teams on This Legal Gridiron," *DRI Today*, August 29, 2012